

March 21, 2008

SYLVESTER BLACK VICE PRESIDENT, WESTERN AREA OPERATIONS

ALEXANDER E. LAZAROFF CHIEF POSTAL INSPECTOR

SUBJECT: Audit Report – Postal Service Emergency Preparedness Plans for the

Western Area (Report Number SA-AR-08-006)

This report presents the results of our self-initiated audit of the U.S. Postal Service emergency preparedness (EP) plans for the Western Area (Project Number 07YG061SA001). Our objective was to determine whether Postal Service EP plans were completed in accordance with federal and Postal Service guidance and addressed risk vulnerabilities. We conducted this audit because EP is a critical area for the Postal Service. See Appendix A for additional information about this audit.

Overall, we concluded that Postal Service EP plans were not always completed in accordance with federal and Postal Service guidance and did not fully address risk vulnerabilities.

Integrated Emergency Management Plan (IEMP) Templates

IEMP templates did not address federal and Postal Service guidance for emergency plans. This occurred because Postal Service management did not establish sufficient requirements in the IEMP templates to ensure applicable federal and Postal Service guidance was addressed. As a result, responsible EP officials may not be fully prepared for disasters and employees, customers, the mail, and critical assets may be exposed to increased risk. See Appendix B for our detailed analysis of this issue.

In our audit report titled, *Postal Service Emergency Preparedness Plans for the New York Metro Area* (Report Number SA-AR-08-005, dated March 21, 2008), we recommended the Chief Postal Inspector establish sufficient requirements in the IEMP templates to address federal and Postal Service guidance. Specifically, the IEMP templates should address Postal Service responsibilities under the National Response Plan (NRP) area and headquarters level functions during emergencies, federal exercise and drill plan requirements, and consolidated annexes. The Postal Inspection Service has agreed to implement this recommendation nationwide; therefore, we are not making any recommendations regarding IEMP templates in this report.

Completion, Approval, and Implementation of IEMPs

District and facility IEMPs were not properly completed and approved as required by Postal Service guidance. This occurred because Postal Service management did not establish sufficient internal controls to ensure that responsible personnel completed these tasks. When IEMPs are not completed and approved in accordance with federal and Postal Service guidance, Postal Service employees, customers, the mail, and critical assets are exposed to increased risk. See Appendix B for our detailed analysis of this issue.

In the previously mentioned report regarding the New York Metro Area, we recommended the Chief Postal Inspector establish a formal policy to identify Postal Service facilities that require an IEMP, and establish requirements for mandatory EP training, including periodic refresher training for personnel responsible for EP at the area, district, and facility level. The Postal Inspection Service has agreed to implement these recommendations nationwide; therefore, we are not making any recommendations regarding formal IEMP policy and EP training in this report.

We are making two recommendations to the Western Area related to performance measures and the Emergency Management Coordinating Committee. We recommend the Vice President, Western Area Operations, in consultation with the Chief Postal Inspector, establish and implement additional internal controls to ensure emergency plans are properly completed and approved. Specifically, we recommend management:

1. Establish and implement emergency preparedness performance measures and incorporate them into performance plans for facility heads.

Management's Comments

Management agreed with the finding and recommendation. Management stated the revised IEMP template will establish a performance measure for installations and districts that require the installation head to approve the IEMP annually. The response further indicated that the installation emergency manager and planning section chief (or designated alternate) are responsible for updating the IEMP annually or as circumstances dictate, and that the district homeland security coordinator is responsible for comprehensive review of the IEMP annually. Management stated the IEMP is validated at least quarterly based on the findings of an after action report associated with lessons learned from an event or exercise.

In follow-up correspondence, management stated that it is often infeasible to incorporate this as a performance measure for facility heads in pay for performance. The Office of National Preparedness (ONP) has instead built performance measures into each of their systems and will give incentive awards based on exceeding performance measures. Management has developed drafts of their National Preparedness Award System and Performance Measures Assessment Scorecards and

plans to implement them by October 1, 2008. Management's comments, in their entirety, are included in Appendix F.

Evaluation of Management's Comments

The U.S. Postal Service Office of Inspector General (OIG) considers management's comments responsive to the recommendation, and the corrective actions should resolve the issue identified in the finding.

We also recommend management:

2. Establish an area Emergency Management Coordinating Committee to provide oversight and assistance to district and facility Emergency Management Teams in establishing, implementing, and reviewing Emergency Management Plans.

Management's Comments

Management partially agreed with the recommendation. Management stated that the establishment of the Emergency Management Coordinating Committee (EMCC) is clearly delineated in the *Administrative Support Manual*. The functionality of the committee may not have been clear, but the oversight and assistance to district and facility emergency management teams (EMT) remain part of the foundation of the area operating staff. Risks to and vulnerabilities in the area are periodically addressed and discussed through venues other than a formal committee.

In follow-up correspondence, management stated that the committee has been established. The first meeting will be held in March 2008, with joint attendance from the Postal Inspection Service and select Western Area executives.

Evaluation of Management's Comments

The OIG considers management's comments responsive to the recommendation, and the corrective actions should resolve the issue identified in the finding.

We appreciate the cooperation and courtesies provided by your staff. If you have any questions or need additional information, please contact Andrea L. Deadwyler, Director, Inspection Service and Facilities, or me at (703) 248-2100.

E-Signed by Darrell E. Benjamin, (2)
VERIFY authenticity with Approve It

Darrell E. Benjamin, Jr.
Deputy Assistant Inspector General for Support Operations

cc: Zane M. Hill John H. Bridges III Michele L. Culp Katherine S. Banks

APPENDIX A: ADDITIONAL INFORMATION

BACKGROUND

The U.S. Postal Service, an independent establishment of the executive branch of the U.S. government, operates like a business and generates about \$70 billion in revenue annually. The Postal Service is responsible for the development of plans for actions necessary to maintain itself as a viable part of the federal government during emergencies.

The Postal Inspection Service is responsible for protecting the mail, Postal Service assets, and millions of customers. As the emergency coordinator for the Postal Service, the Chief Postal Inspector is responsible for coordinating emergency planning and civil preparedness programs and providing training and guidance to responsible EP personnel.

Consolidation of Postal Service Homeland Security Responsibilities. In March 2007, the Chief Postal Inspector announced that in a continuing effort to eliminate redundancies and reduce costs, all homeland security responsibilities were consolidated under the Postal Inspection Service. These responsibilities included EP and aviation security. These groups realigned to form the ONP within the Postal Inspection Service. ONP's key responsibilities include incident management, infrastructure protection, aviation mail security, public health preparedness, and performance measures.

ONP is responsible for developing Postal Service EP policy and guidance, including the IEMP templates. Its mission is to maintain a high state of national preparedness across the Postal Service enterprise through a comprehensive and coordinated approach to planning, integration, and support.

Integrated Emergency Management Plan. In January 2004, the Postal Service established the IEMP as the comprehensive plan for mitigating, preparing for, responding to, and recovering from any natural or man-made disaster. The goal of the IEMP is to improve coordination of planning and response activities among functional areas, minimize duplication of planning efforts, and establish a standardized emergency management process.

The IEMP integrates the Emergency Action Plan (EAP),² Continuity of Operations Plan (COOP),³ and annexes for specific hazards (such as hurricanes, floods, and wildfires) into one plan. It also establishes EMTs and defines team roles and responsibilities. Districts and facilities are required to tailor standardized district and facility IEMP templates to address specific processes and procedures and other information pertinent

² The EAP provides evacuation-specific tasks and procedures for the facility.

¹ United States Postal Service Annual Report 2006.

³ The COOP provides operational tasks and procedures for moving operations to an alternate facility.

to their site. District and facility managers are required to update their IEMPs at least once a year.

Homeland Security Presidential Directive (HSPD) 5. HSPD-5, Management of Domestic Incidents, dated February 28, 2003, directs the Secretary of Homeland Security to develop and administer a single, comprehensive National Incident Management System (NIMS) and use the NIMS to develop and administer a NRP. NIMS provides a consistent nationwide template to enable federal, state, local, and tribal governments and private-sector and nongovernmental organizations to work together effectively and efficiently to prepare for, prevent, respond to, and recover from domestic incidents.

National Response Plan.⁴ The NRP, dated December 2004, is the federal government's unified, all-discipline, all-hazard plan that aligns federal coordination structures, capabilities, and resources for domestic incident management. The NRP identifies the Postal Service as a support agency for seven emergency support functions (ESF),⁵ including transportation and mass care housing and human services.

OBJECTIVE, SCOPE, AND METHODOLOGY

Our objective was to determine whether Postal Service EP plans were completed in accordance with federal and Postal Service guidance and addressed risk vulnerabilities.

To accomplish our objective, we interviewed Postal Service and Postal Inspection Service officials, including officials from the ONP, facility heads, and district and facility EMTs. We reviewed applicable EP policies and procedures and assessed related internal controls. We also assessed mitigation and preparedness activities for EMTs.

We conducted audit fieldwork at Postal Service Headquarters, 10 judgmentally⁶ selected Postal Service critical facilities,⁷ and three districts (see Appendix C) located in the Western Area. We also reviewed training records from the National Training Database and official Emergency Management Institute transcripts to determine whether key personnel responsible for EP completed the minimum suggested training requirements established by the Postal Service and the Federal Emergency Management Agency (FEMA). Although we did not test the accuracy of computergenerated data, we discussed the training records with applicable personnel and consider the data sufficiently reliable to support the opinions and conclusions in this report.

⁴ In September 2007, the Department of Homeland Security (DHS) issued a draft National Response Framework which, when approved, will supersede the NRP.

⁵ ESFs describe how the Postal Service should coordinate processes and requirements to ensure efficient and effective incident management during national emergencies.

⁶ We selected facilities based on mail volumes, number of employees, and facility type.

⁷ Critical facilities are facilities the Postal Inspection Service considers essential for the delivery of vital postal services.

We conducted this performance audit from June 2007 through March 2008 in accordance with generally accepted government auditing standards and included such tests of internal controls as we considered necessary under the circumstances. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objective. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objective. We discussed our observations and conclusions with management officials on November 28 and December 13, 2007, and included their comments where appropriate.

PRIOR AUDIT COVERAGE

In the past 3 years, the OIG issued ten reports regarding Postal Service EP plans. Six of the ten related to our review of the Postal Service's response to Hurricanes Katrina and Rita. In these reviews, we found that while the Postal Service took noteworthy actions in responding to the hurricanes, opportunities existed to enhance EP planning and response. Management generally agreed with our recommendations to enhance EP planning and response.

In addition, the Government Accountability Office (GAO) issued three testimonies on federal EP planning and one report on Postal Service EP planning. In its report, the GAO provided and Postal Service management generally agreed to three recommendations regarding training and guidance for suspicious mail. Also, the White House issued a report on lessons learned from Hurricane Katrina and federal EP planning.

Report Title	Report Number	Final Report Date		
OIG Reports				
Handling of a Suspicious Powder Incident at the Wichita Falls Mail Processing Center	SA-AR-07-005	July 11, 2007		
Handling of a Potentially Explosive Ordnance at the Dallas Bulk Mail Center	SA-AR-07-001	March 29, 2007		
Postal Service Emergency Preparedness for Hurricanes Katrina and Rita	SA-AR-06-007	August 3, 2006		
Hurricane Katrina – The Effectiveness of the Postal Service Transportation and Logistics Network	NL-AR-06-006	June 29, 2006		
Postal Inspection Service Emergency Preparedness for Hurricane Katrina	SA-AR-06-005	June 5, 2006		
National Change of Address – Emergency Preparedness	IS-AR-06-005	March 30, 2006		
Mail Processing Operations in the Wake of Hurricanes Katrina and Rita	NO-MA-06-002	March 27, 2006		
Postal Service Actions to Safeguard Employees from Hurricane Katrina	HM-AR-06-002	February 15, 2006		
Postal Inspection Service's Emergency Preparedness	SA-AR-05-001	January 5, 2005		
Emergency Preparedness Plans - New York Metro Area	SA-AR-08-005	March 21, 2008		

GAO and White House Reports				
Homeland Security: Preparing for and Responding to Disasters	GAO-07-395T	March 9, 2007		
Emergency Preparedness and Response: Some Issues and Challenges Associated with Major Emergency Incidents	GAO-06-467T	February 23, 2006		
Hurricane Katrina: Providing Oversight of the Nation's Preparedness, Response, Recovery and Activities	GAO-05-1053T	September 28, 2005		
Guidance on Suspicious Mail Needs Further Refinement	GAO-05-716	July 2005		
White House Report – The Federal Response to Hurricane Katrina: Lessons Learned	None	February 2006		

APPENDIX B: DETAILED ANALYSIS

Integrated Emergency Management Plan Templates

IEMP templates did not address federal and Postal Service guidance for emergency plans. For example, the templates did not:

- Address NRP requirements for the Postal Service's responsibilities to provide ESFs in the event of a national emergency. The Postal Service requires the IEMP to be the comprehensive plan for the Postal Service to prepare for and respond to national emergencies.
- Integrate area and headquarters level functions during emergencies, and did not include roles and responsibilities for area and headquarters EP officials. The Postal Service requires area and headquarters personnel responsible for EP to coordinate their planning and response activities in the IEMP.
- Require exercise and drill plans covering multiple years and including full-scale exercises involving multi-disciplinary and multi-jurisdictional participants, in accordance with DHS guidance.⁸
- Consolidate incident-specific annexes into broader categories to increase the
 Postal Service's capability to respond rapidly and effectively to a variety of
 incidents. The IEMP template contains 27 incident-specific annexes, many of
 which could apply to a single incident. For example, during a severe storm, the
 following annexes could apply: floods and flash floods, high winds, power
 outage, and serious injuries or fatalities. However, DHS established seven
 annexes to address similar risks and vulnerabilities in the NRP, in contrast to the
 Postal Service's 27 annexes. See Appendix D for a list of Postal Service
 annexes.

In our review of EP plans, we determined that Postal Service management did not establish sufficient requirements in the IEMP templates to ensure applicable federal and Postal Service guidance was addressed.

⁸ According to guidance in the NIMS and the *Homeland Security Exercise and Evaluation Program Manual*, dated February 2007, emergency plans should cover multiple years and include full-scale exercises involving multi-disciplinary and multi-jurisdictional participants.

District and Facility IEMP Completion and Approval

IEMPs were not properly completed and approved as required by Postal Service guidance. For example:

- Two facilities did not have IEMPs at all, and the other one did not have a signed and approved final IEMP.
- Six of the approved facility IEMPs did not provide guidance for the movement of employees in their COOPs.⁹
- One of the approved facility IEMPs did not have any annexes.
- Three of the approved district and facility IEMPs did not contain safe zone maps or appropriate guidance for Annex 2B (Floods and Flash Floods). Although personnel identified annexes applicable to their facilities, they did not always complete each annex as required.

This occurred because Postal Service management did not establish sufficient internal controls to ensure that responsible personnel completed these tasks. Specifically, management did not:

- Establish a formal policy to clarify which postal facilities require IEMPs. (See Appendix E for the status of IEMPs at the districts and facilities reviewed.)
 Although guidance in the district IEMP template states that district managers are responsible for obtaining IEMPs for Level 24¹⁰ and above facilities, the guidance does not clearly identify facilities that require IEMPs.
- Establish requirements for mandatory training for responsible personnel to ensure that IEMPs are properly completed. Twenty-seven of 29 employees responsible for EP did not complete the minimum suggested training requirements established by the Postal Service and FEMA. According to GAO internal control standards, training should be aimed at developing and retaining employees' skill levels to meet organization needs.¹¹
- Develop EP performance measures for facility heads to ensure IEMPs are properly completed and approved. None of the facility heads we interviewed had EP performance measures. According to GAO internal control standards, establishing appropriate performance measures and indicators helps ensure

⁹ The IEMP requires EP officials to identify transportation providers for the possible movement of employees to alternate sites.

¹⁰ The facility level is determined by the EAS grade of the facility head. All the facilities we reviewed were critical facilities and were level 24 or higher.

¹¹ Standards for Internal Controls in the Federal Government (GAO/AIMD-00-21.3.1), dated November 1999.

that employees accomplish management's directives and organizational objectives.

 Establish an area Emergency Management Coordinating Committee to provide oversight and assistance to district and facility EMTs in establishing, implementing, and reviewing IEMPs in accordance with postal guidance.¹²

When Postal Service EP plans are not prepared and implemented according to federal and Postal Service guidance, employees, customers, the mail, and critical assets are exposed to increased risk.

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¹² Administrative Support Manual 13 (dated July 1999 and updated with Postal Bulletins revisions through March 29, 2007) requires EMCCs for each area.

APPENDIX C: WESTERN AREA DISTRICTS AND FACILITIES REVIEWED

WESTERN AREA					
	DISTRICTS				
	NAME	CITY	STATE		
1	Arizona	Phoenix	AZ		
2	Nevada Sierra	Las Vegas	NV		
3	Colorado Wyoming	Denver	CO		
FACILITIES					
	NAME	CITY	STATE		
1	Phoenix Processing and Distribution Center (P&DC)	Phoenix	AZ		
2	Las Vegas P&DC	Las Vegas	NV		
3	Denver P&DC	Denver	CO		
4	Denver Bulk Mail Center (BMC)	Denver	CO		
5	Colorado Springs P&DC	Colorado Springs	СО		
6	Seattle P&DC	Seattle	WA		
7	Seattle BMC	Seattle	WA		
8	Des Moines BMC	Des Moines	IA		
9	Kansas City BMC	Kansas City	KS		
10	Minnesota St. Paul BMC	Eagan	MN		

APPENDIX D: POSTAL SERVICE IEMP ANNEXES

POSTAL SERVICE ANNEXES		
ANNEX 1	HUMAN CAUSED EMERGENCIES	
1A	Biohazard Detection System	
1B	Anthrax	
1C	Bloodborne Pathogens	
1D	Bombs and Bomb Threats	
1E	Chemical Agents	
1F	Civil Disorders	
1G	National Emergency	
1H	Radiological Emergencies	
11	Serious Injury or Fatality	
1J	Theft, Burglaries, or Robberies	
1K	Violence in the Workplace	
1L	Severe Acute Respiratory Syndrome	
1M	Toxic Industrial Chemical and Industrial Hazards	
I IVI	from Off-site Sources	
ANNEX 2	NATURAL EMERGENCIES	
2A	Earthquakes	
2A 2B	Earthquakes Floods and Flash Floods	
2A	Earthquakes Floods and Flash Floods High Winds and Tornadoes	
2A 2B	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes	
2A 2B 2C 2D 2E	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows	
2A 2B 2C 2D 2E 2F	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning	
2A 2B 2C 2D 2E 2F 2G	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall	
2A 2B 2C 2D 2E 2F	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire	
2A 2B 2C 2D 2E 2F 2G	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall	
2A 2B 2C 2D 2E 2F 2G 2H	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire	
2A 2B 2C 2D 2E 2F 2G 2H	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire	
2A 2B 2C 2D 2E 2F 2G 2H 2I	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire Winter Storms and Driving TECHNOLOGICAL EMERGENCIES Building Fire	
2A 2B 2C 2D 2E 2F 2G 2H 2I	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire Winter Storms and Driving TECHNOLOGICAL EMERGENCIES	
2A 2B 2C 2D 2E 2F 2G 2H 2I ANNEX 3	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire Winter Storms and Driving TECHNOLOGICAL EMERGENCIES Building Fire Communications Failure Explosion	
2A 2B 2C 2D 2E 2F 2G 2H 2I ANNEX 3 3A 3B	Earthquakes Floods and Flash Floods High Winds and Tornadoes Hurricanes Landslides and Mudflows Thunderstorms and Lightning Volcanoes and Ashfall Wildfire Winter Storms and Driving TECHNOLOGICAL EMERGENCIES Building Fire Communications Failure	

In contrast, the NRP contains seven annexes that address similar risks and vulnerabilities: Biological, Catastrophic, Cyber, Food and Agriculture, Mass Evacuation, Nuclear/Radiological, and Terrorism Law Enforcement and Investigations.

APPENDIX E: STATUS OF IEMPS

DISTRICTS					
	Name	APPROVED IEMP			
1	Arizona	Yes			
2	Nevada – Sierra	Yes			
3	Colorado Wyoming	Yes			
FACILITIES					
	Name	APPROVED IEMP			
1	Phoenix P&DC	Yes			
2	Las Vegas P&DC	Yes			
3	Denver P&DC	Yes			
4	Denver BMC	Yes			
5	Colorado Springs P&DC	No			
6	Seattle P&DC	Yes			
7	Seattle BMC	Yes			
8	Des Moines BMC	No			
9	Minnesota St. Paul BMC	No			
10	Kansas City BMC	Yes			

APPENDIX F: MANAGEMENT'S COMMENTS



UNITED STATES POSTAL INSPECTION SERVICE

OFFICE OF NATIONAL PREPAREDNESS

February 28, 2008

Johnson John Acting Director, Audit Operations 1735 North Lynn Street Arlington, Virginia 22209-2020

We have reviewed the draft report entitled "Draft Audit Report — Postal Service Emergency Preparedness Plans for the Western Area, Report Number SA-AR-08-DRAFT." Thank you for the opportunity to comment. We agree with one of the conclusions reached in the report and partially agree with the second recommendation as specifically noted in this response.

In fact, we have already taken steps to implement the recommendations. We appreciate that the report acknowledges the important role of the Chief Postal Inspector which strengthens the organization's infrastructure towards building resiliency. This role includes coordinating emergency planning with civil preparedness programs and providing training and guidance to responsible emergency preparedness personnel.

We agree the recommendations in the report will serve to enhance and improve emergency preparedness plans. We do not believe the draft report adequately reflects the tireless efforts of many U.S. Postal Service (USPS) employees as well as the difficult challenges faced by the USPS to amend its priorities and philosophy related to homeland security since September 11, 2001.

As detailed below, we are in agreement with many of the recommendations to improve the USPS ability to execute Integrated Emergency Management Plan (IEMP) measures and emergency preparedness activities, where appropriate.

Recommendation 1

Establish and implement emergency preparedness performance measures and incorporate them into performance plans for facility heads.

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We agree with Recommendation 1.

The revised IEMP Templates will establish a performance measure for the installation and District that require the Installation Head to approve the IEMP annually to ensure it is up-to-date. The Installation Emergency Manager and Planning Section Chief (or designated alternative) at the installation or District is responsible for updating the plan annually or as circumstances dictate. The District Homeland Security Coordinator is responsible for comprehensive review of the IEMP annually. The IEMP is validated at least quarterly based on the findings of an after action report (AAR) associated with lessons learned from an event or exercise.

In addition to the revised IEMP measure, the Vice President, Western Area agrees that emergency preparedness performance through completion and implementation of Integrated Emergency Management Plans must me monitored on a continual basis. Monitoring such plans is best accomplished during managerial performance reviews and through follow-up of Inspection Service audits. Western Area will work in coordination with the Inspection Service to determine an appropriate follow-up mechanism.

Recommendation 2

Establish an area Emergency Management Coordinating Committee to provide oversight and assistance to district and facility Emergency Management Teams in establishing, implementing and reviewing Emergency Management Plans.

We partially agree with Recommendation 2.

The establishment of the Emergency Management Coordinating Committee is clearly delineated in the Administrative Support Manual (ASM Section 28). The functionality of ECC's may not have been clear but the oversight and assistance to District and facility Emergency Management Teams remains part of the foundation of the area operating staff. Risk and vulnerability to the area are periodically addressed and discussed through venues other than a formal ECC.

The responsibilities of developing strategy and implementing policy as identified responsibilities in the ASM for the ECC will be formally implemented. Nationwide, on October 9, 2007 a memo was sent to field National Preparedness personnel and on January 25, 2008 a memo was sent to Inspectors in Charge outlining their responsibilities in relation to the Emergency Management Coordinating Committees. (Copies of these documents were provided to OIG personnel during a conference on February 8, 2008.)

The Vice President, Western Area Operations agrees that an emergency management coordinating committee must be established within guidelines of the ASM. A committee will be established jointly with the Inspection Service, and meetings will be regularly conducted.

Again, we appreciate your review and opportunity to comment as we implement performance measures towards continual improvement. If you or your staff wishes to discuss any of these comments, I am available at your convenience.

The report contains no confidential business sensitive or law enforcement sensitive information that would preclude disclosure under the Freedom of Information Act.

Sincerely,

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Executive Director, National Preparedness

Again, we appreciate your review and opportunity to comment as we implement performance measures towards continual improvement. If you or your staff wishes to discuss any of these comments, I am available at your convenience.

The report contains no confidential business sensitive or law enforcement sensitive information that would preclude disclosure under the Freedom of Information Act.

Sincerely,

John Bridges Executive Director, National Preparedness

CONCURRENCE:

Sylvester Black, Vice-President, Area Operations